

# Esterification

## Preparation of $\beta$ -D-glucose pentaacetate

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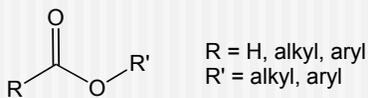
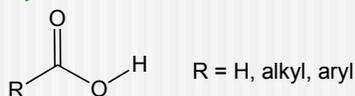
## Table of contents

- Ester
- Reaction with carboxylic acids
- Reaction with esters: transesterification
- Reaction with acid anhydrides
- Reaction with acid halides and other acyl derivatives
- Preparation of  $\beta$ -D-glucose pentaacetate

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## Carboxylic Acid and Ester

- **Common carboxylic acids are: formic acid, acetic acid, benzoic acid, etc.**
- **Common esters are formate, acetate, benzoate, etc.**



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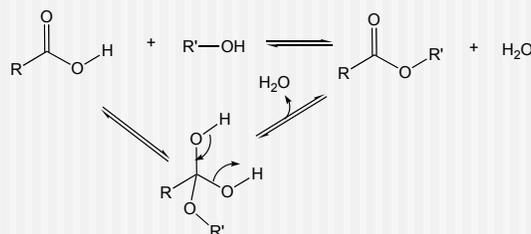
## Reaction with Carboxylic Acids

- **Without Activator**
- **Acid Catalysts**
- **Carbodiimide Activators**
- **The Mitsunobu Reaction**
- **Enzymes**

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## With Acid without Activator

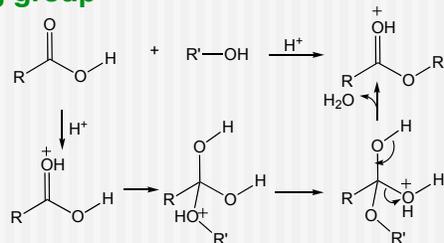
- Hydroxy is not a good leaving group
- Possible for carboxylic acid and alcohols with high boiling points



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## Acid Catalysts

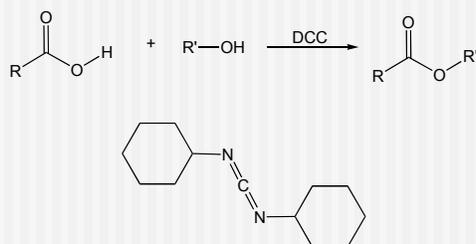
- Acid catalyst protonates the carbonyl group of carboxylic acid and enhances the electrophilicity of carbonyl group
- Acid catalyst help the conversion of hydroxyl group to leave as water, the neutral molecule and a good leaving group



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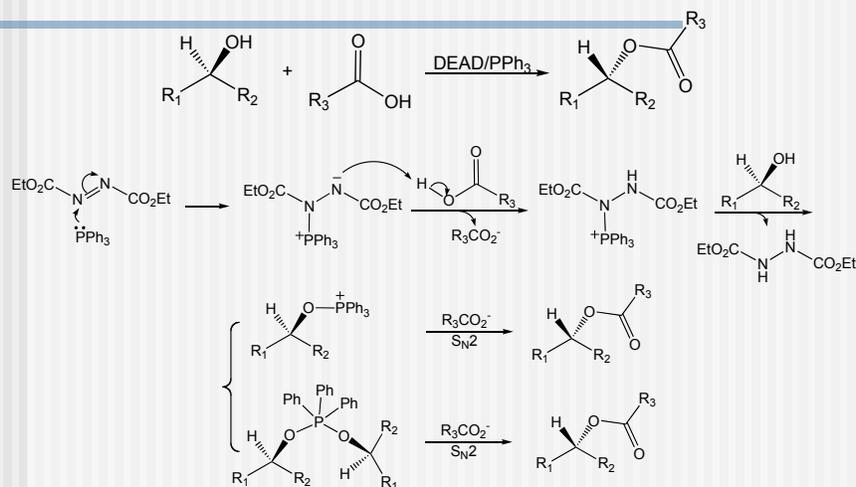
## Carbodiimide Activation

- **Dicyclohexyl carbodiimide (DCC)** accelerates the esterification by formation of dicyclohexyl urea



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## The Mitsunobu Reaction



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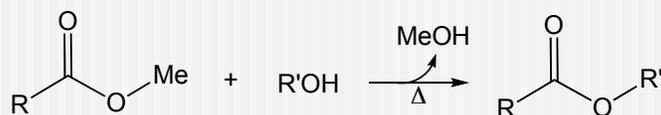
## Reaction with Esters: Transesterification

- Without Activator
- Acid Catalysts
- Enzymes

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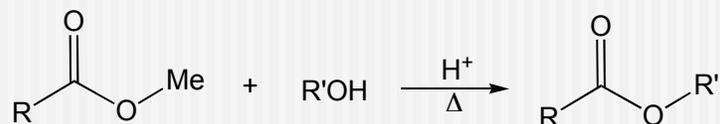
## Transesterification without Activator

- Esters from small alcoholic components such as methanol or ethanol can react with other large alcohols to form different esters, as methanol and ethanol are more volatile than water.



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## Transesterification with Acid Catalysts



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## Transesterification with Lipases

- The enzymes that cleave the ester functional group are known as Lipases
- In the presence of lipases, the alcohols can be easily acylated using other ester as acylating agents
- Especially for the secondary alcohols, only one enantiomer will be acylated, so that enantiomeric pure esters can be prepared from this method
- Reactions are usually carried out at room temperature in non-polar solvent such as toluene

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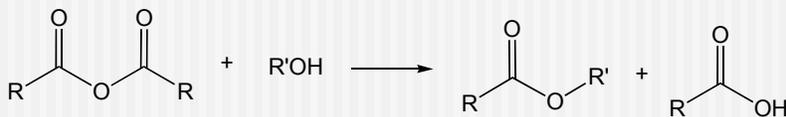
## Reaction with Acid Anhydrides

- Without Activator
- Acid Catalysts
- Base Activators
- Mixed Anhydrides

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## Reaction with Anhydride

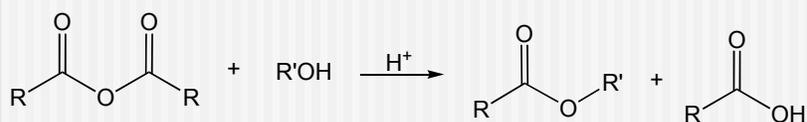
- Anhydride is much more electrophilic than carboxylic acid, thus the addition of alcoholic oxygen to carbonyl bond is much faster
- The carboxylic acid cleaved from this esterification is a much better leaving group than hydroxyl group in carboxylic acid



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## Reaction with Anhydride and Acid Catalyst

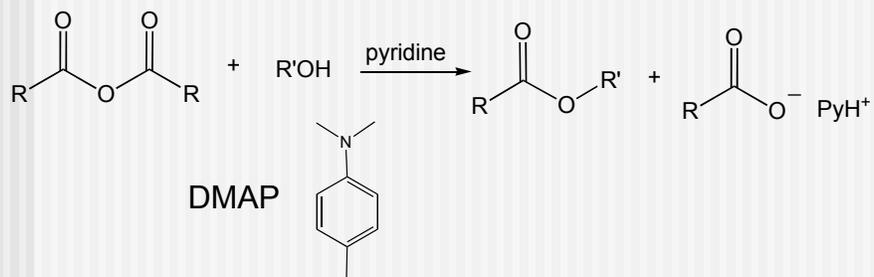
- The presence of acid will protonate the carbonyl group in anhydride, and further enhances the electrophilicity



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## Reaction with Anhydride and Base Activator

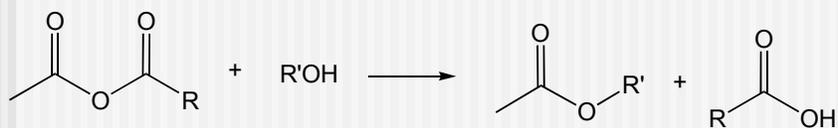
- The base, usually tertiary amine, presenting in the reaction system will scavenge the formed acid, and shift the equilibrium to ester



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## Reaction with Mixed Anhydride

- Generally, the reaction with mixed anhydride, the alcohols will be acylated by the smaller carboxylic moiety, because of the electrophilicity



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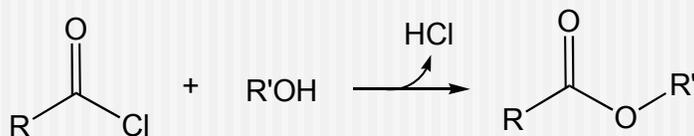
## Acyl Halide and Acyl Derivatives

- Without Activator
- Acid Catalysts
- Base Activators
- Enzymes

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## Reaction with Acyl Halides

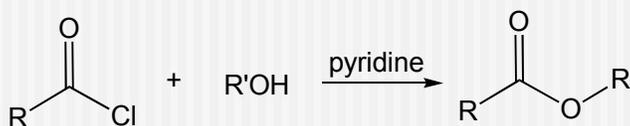
- As halides are good leaving groups, the reaction between alcohol and acyl halides are very fast and exothermic
- The reaction must be carefully carried out with cooling or in dilute solution



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## Reaction with Acyl Halides with Base Activator

- The presence of base (tertiary amines) can neutralize the hydrochloride acid
- Other amines (primary or secondary amines) will further react with ester to form amides



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## Reaction with Other Acyl Derivatives

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- Alcohol can react with nitriles to form esters

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## Acetylation of Glucose

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- Glucose is a monosaccharide with five free hydroxyl groups
- It often mutarotates between  $\alpha$ - and  $\beta$ -anomer
- The acetylation of glucose is very useful for transformation of glucose into a useful material for carbohydrate synthesis

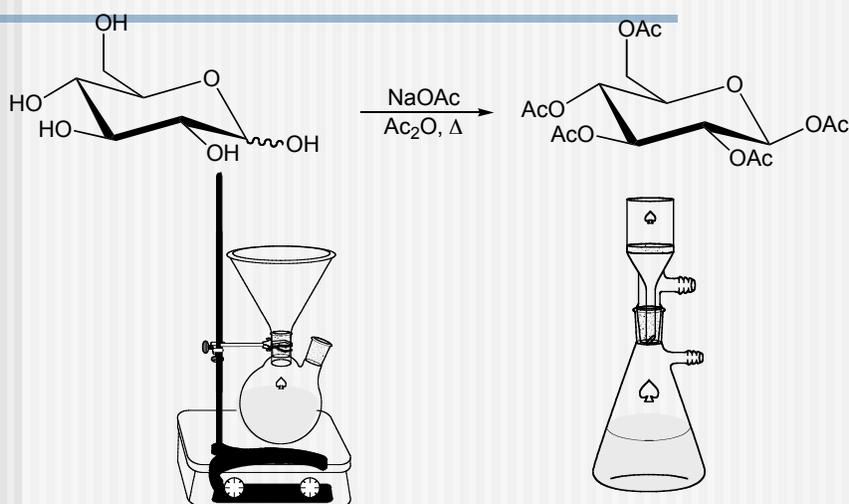
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## Acetylation of Glucose

- Acetylation of glucose may lead to the formation of kinetic product or thermodynamic product; they are  $\alpha$ -D-glucose pentaacetate and  $\beta$ -D-glucose pentaacetate
- The acetylation with acetic anhydride in the presence of pyridine results in the formation of kinetic product
- The acetylation with acetic anhydride in the presence of sodium acetate (base) gives thermodynamic product

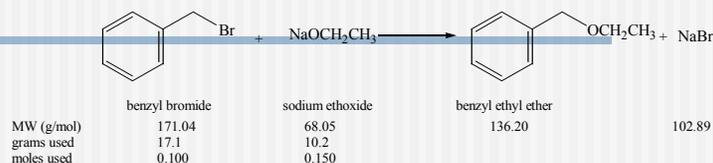
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## Acetylation of Glucose



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## Atom Economy



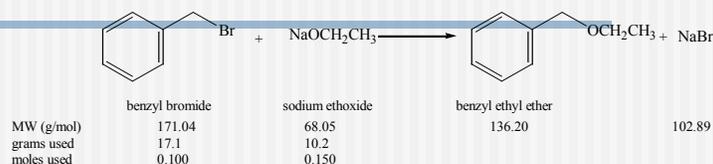
- **Atom economy** is a ratio of the molecular weight of the product vs. the molecular weights of all reactants used in the synthesis.

$$\text{Atomic Economy} = \frac{MW_{\text{desired}}}{\sum MW_{\text{react.}}}$$

$$\frac{136.20 \text{ g/mol}}{(171.04 \text{ g/mol} + 68.05 \text{ g/mol})} \times 100\% = 57.0\%$$

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## Experimental Atom Economy



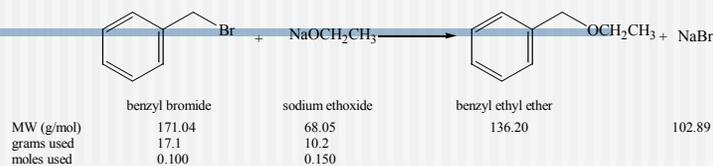
- **Experimental atom economy** compares the theoretically obtainable amount of the desired product to the total amount of reactants used in the synthesis.

$$\text{Experimental Atomic Economy} = \frac{\text{Mass}_{\text{desired product}}}{\sum \text{mass}_{\text{react.}}}$$

$$\frac{13.62 \text{ g}}{(17.1 \text{ g} + 10.2 \text{ g})} \times 100\% = 49.9\%$$

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## “E” Product



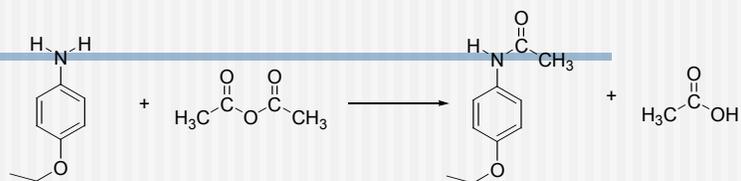
**“E” product** considers both the chemical yield and the experimental atom economy.

$$E \text{ Product} = \% \text{ Chemical Yield} \times \% \text{ Experimental Atom Economy}$$

e.g., 93% yield, E Product = 93% × 49.9% = 49.4%

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## Cost Analysis



C<sub>8</sub>H<sub>11</sub>NO  
Acros #: 41716  
Cost:  
\$81.60/250g

C<sub>4</sub>H<sub>6</sub>O<sub>3</sub>  
Acros #: 42323  
Cost:  
\$23.80/500mL

### Cost of Phenetidine used:

$$1.0 \text{ mL} * (1.07 \text{ g/mL}) = 1.07 \text{ g used}$$

$$1.07 \text{ g} * (\$81.60/250 \text{ g}) = \underline{\$0.35} \text{ per synthesis}$$

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## Calculation of Yields

$$\text{Atomic Economy} = \frac{MW_{\text{desired}}}{\sum MW_{\text{react.}}}$$

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$$\text{Experimental Atomic Economy} = \frac{\text{Mass}_{\text{desired product}}}{\sum \text{mass}_{\text{react.}}}$$

$$E_{\text{Product}} = \% \text{ Chemical Yield} \times \% \text{ Experimental Atom Economy}$$

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